

INVESTIGATION PROJECT

Dissemination of ALTC Grants Scheme project outcomes

Guidelines and Supporting Information – 2009
Version 1.0

Contents

Updated 24 September 2009

THIS DOCUMENT
PROVIDES
INFORMATION ON
INVESTIGATION
PROJECTS 2009.

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1.

INFORMATION SHEET 2009

Investigation Projects

Through the Grants Scheme the Australian Learning and Teaching Council (ALTC) provides grants for projects related to the enhancement of learning and teaching in higher education. Investigation Projects respond to priorities emanating from the Australian Learning and Teaching Council's designated responsibilities and priorities determined by the ALTC Board.

The specific learning and teaching issue addressed in this brief is **dissemination**. This investigation project will undertake an examination of the extent to which ALTC dissemination strategies have led to the effective dissemination and consolidation of the outcomes of completed ALTC Grants Scheme projects.

Application information

Only one call for proposals on this specific issue will occur. The ALTC reserves the right to ask for a proposal to be modified and/or re-submitted for decision.

Funding range —\$150,000 to \$220,000

Duration of project

The project may take up to one year and the final report must be submitted by 28 January 2011.

Closing date

Proposals to be received by 5pm EDT, 11 November 2009.

2.

INTRODUCING INVESTIGATION PROJECTS

2.1 INTRODUCTION

The ALTC has been established by the Australian Government 'to promote and advance learning and teaching in Australian higher education'. The Grants Scheme is one of the priority areas identified in the ALTCs Strategic Directions for 2008-2012 released by the Board in 2008. It incorporates three programs:

- Leadership for Excellence in Learning and Teaching program
- Competitive Grants Program
- Priority Projects Program

Details of each of the programs and their funding priorities can also be found at www.altc.edu.au. From time to time the ALTC identifies specific learning and teaching issues for investigation and study, which require a specific project tender process. These specific project briefs are termed Investigation Projects, and specific guidelines apply. The ongoing management and oversight of the project on dissemination will be through the Priority Projects Program.

2.2 AUSTRALIAN LEARNING AND TEACHING COUNCIL'S OBJECTIVES

While all programs are designed to support the ALTC's mission and objectives, the Grants Scheme specifically addresses the following ALTC objectives:

- ALTC Objective (a): promote and support strategic change in higher education institutions for the enhancement of learning and teaching, including curriculum development and assessment.
- ALTC Objective (b): raise the profile and encourage recognition of the fundamental importance of teaching in higher education institutions and in the general community.
- ALTC Objective (d): develop effective mechanisms for the identification, development, dissemination and embedding of good individual and institutional practice in learning and teaching in Australian higher education.
- ALTC Objective (e): develop and support reciprocal national and international arrangements for the purpose of sharing and benchmarking learning and teaching processes.

3.

INVESTIGATION PROJECTS GUIDELINES

3.1 BACKGROUND

In 2004, the Australian Universities Teaching Committee (AUTC) commissioned two large projects to investigate learning and teaching grant schemes and their outcomes. These two studies identified dissemination strategies for funded projects that would increase the likelihood of achieving change in teaching and learning practices in Australian higher education. The projects resulted in the reports, published in 2005: *Dissemination, Adoption and Adaptation of Project Innovations in Higher Education* (McKenzie, Alexander et al., 2005) and *Strategies for effective dissemination of project outcomes* (Southwell, Gannaway et al., 2005).

Subsequently, these reports have been utilised in the development of ALTC funding, and in the development of the *ALTC Dissemination Framework* (2006). Criteria in all grant programs include *Plans for the dissemination/embedding of the successful strategies and outcomes that are integrated within the project design* (see ALTC Grants Scheme Report 2008, p. 2)

The *ALTC Dissemination Framework* provides for the development of strategies for both engaged and information provision dissemination in line with the ALTC's stated mission to promote and advance learning and teaching in Australian higher education, and specially to support the achievement of Objective A ("to promote and support strategic change in higher education institutions for the enhancement of learning and teaching, including curriculum development and assessment"); and Objective D ("to develop effective mechanisms for the identification, development, dissemination and embedding of good individual and institutional practice in learning and teaching in Australian higher education").

3.2 INVESTIGATION OBJECTIVE

The Board of the ALTC wishes to commission a study that addresses the extent to which ALTC dissemination strategies have led to the effective dissemination of outcomes of ALTC Grants Scheme projects. This investigation includes all projects completed to the end of 2009; Leadership, Competitive Grants, and Priority Projects Programs, and Discipline Studies and General Investigations (originally funded as Discipline Based Initiatives, but now managed by the Grants Scheme).

3.3 INVESTIGATION DESCRIPTION

This project will investigate the extent to which the ALTC dissemination strategies have led to the effective dissemination and consolidation of ALTC Grants Scheme project outcomes for projects completed to the end of 2009.

This Investigation should:

1. Identify the dissemination strategies used in the projects;
2. Trace the intended and unintended impacts of the chosen strategies;
3. Examine the sustainability of the chosen strategies and the opportunities for embedding and/or increasing the scale of innovations; and
4. Identify the types of systematic changes that were to have been influenced by the existing strategies and any evidence to date as to whether these intended changes have occurred

Criteria

Projects to be funded should demonstrate the following:

Project Outcomes and Rationale

- Clearly articulated outcomes and a clear argument demonstrating how the project will address the topic of investigation and contribute to the enhancement of learning and teaching in higher education

Approach

- A strong theoretical framework
- A set of strategies which is considered, coherent and appropriate to the outcomes the project is designed to achieve
- An approach that is in general alignment with the commitments of the ALTC
- Plans for the dissemination/embedding of the successful strategies and outcomes that are integrated within the project design

Project Management and Budget

- A thorough approach to project management, and
- Budget justification appropriate to the project outcomes and importance.

3.4 APPLICATION INFORMATION

Only one call for project proposals will occur. The ALTC reserves the right to ask for a proposal to be modified and/or re-submitted for decision.

Funding range —\$150,000 to \$220,000

Duration of projects

The project may take up to one year and the final report must be submitted by 28 January 2011.

Closing date

Proposals to be received by 5pm EDT, 11 November 2009.

4.

OPERATIONAL POLICIES GRANTS SCHEME GUIDELINES

4.1 PURPOSE OF GUIDELINES

The Grants Scheme Guidelines provide information to those wishing to apply for grants. The guidelines include information covering expectations in relation to proposals, the criteria and processes used to determine what grants will be approved and the management of projects and responsibilities. Specific detail for each of the programs is provided in the program guidelines, which scope the type of projects supported through the program (refer Part 3).

4.2 PRINCIPLES

The ALTC's ethos is framed in terms of the following values and principles for action:

- Inclusiveness - by assisting the development of networks and communities which support higher education staff who have a direct impact on the advancement of learning and teaching.
- Long term change - through a focus on systemic change and capacity building.
- Diversity - by recognising and valuing institutional and discipline differences and similarities, by ensuring an appropriate balance between generic and disciplinary approaches to the enhancement of learning and teaching.
- Collaboration - through the programs it funds and in its work practices, respecting the existing strengths of the systems and of the people within the higher education sector nationally and internationally.
- Excellence - through the recognition of quality in its programs and awards, through its encouragement of such recognition in all higher education institutions, and through building the reputation of the Institute as a leading-edge, prestigious organisation.

The Grants Scheme is designed to conform to the following principles:

- Compliance with the ALTC's mission, objectives and values
- Transparency
- Value for money
- High impact
- Future looking

Compliance with the Australian Learning and Teaching Council’s mission, objectives and values

All proposals for grants for projects will be assessed for their contribution to the mission and objectives of the ALTC and for their synergy with the ALTC’s values and principles for action.

Transparency

These guidelines are provided to ensure that the process of applying for a grant to conduct a project is transparent. Within the limitations of privacy and commercial in confidence protections, the selection process associated with approving a grant and the management of the project should be transparent. Information on successful grant recipients (institutions) will be published on the ALTC’s website and in the annual report.

Value for Money

Projects proposals will be assessed in terms of value for money. The processes associated with decision making, compliance requirements and monitoring will also be designed to ensure the best value for money while being consistent with appropriate stewardship of government funding, fairness and transparency.

High Impact

Priority will be given to projects that are likely to have a high impact. This approach reflects the objective to ‘support strategic change in higher education institutions’. The design of projects should address the potential to support strategic change and how the project will maximise dissemination and embedding of exemplary institutional and/or individual practice in learning and teaching in higher education.

Future Looking

While there is often an emphasis on ‘innovation’ in grants schemes, the ALTC will take the perspective of ‘future looking’ which encompasses a broad definition of ‘innovation’. It will support projects that have the potential to advance learning and teaching as well as those that address emerging issues for learning and teaching in higher education. In this broad context projects may be of the type which provide new approaches; build on, and embed systemically approaches already developed; be capacity building; or focus on changed understandings and practices to promote and advance learning and teaching.

4.3 ELIGIBILITY

Under the ALTC Grants Scheme, Institutions listed in Table A and Table B of the Higher Education Support Act (2003) and other approved higher education providers receiving places under the Commonwealth Grants Scheme are eligible to apply for grants (see Attachment 1).

4.4 APPLICATION PROCESS

Applications to the program are by proposal only. Requests for proposals will normally be advertised through the Higher Education Supplement of the Australian. The application date and other relevant details will be available on the ALTC website.

The principles for funding single institution projects are:

- Case studies of an issue, an idea or approach of importance to the higher education sector.
- The work proposed should be able to be applied within other institutions.
- The proposal includes a comprehensive and convincing strategy to ensure outcomes and project materials take into account different institutional contexts and can be applied in those different contexts.
- A comprehensive plan for dissemination to the relevant audiences: sharing has to be embedded in the conduct of the project.

Collaboration between higher education institutions and/or relevant other bodies is encouraged. Applications from consortia will need to be submitted under a lead institution which must be a higher education institution eligible to receive a grant under these guidelines. The lead institution must ensure each named collaborating institution/organisation has agreed to have its name put forward as a collaborating institution before submitting a project proposal. Failure to ensure the agreement of named collaborating institutions/organisation may result in the application being rejected by the ALTC.

The lead institution must be authorised to deal on behalf of all members of the consortia or collaborative group, and enter into agreements which are binding on them. For the purposes of the application, all consortia members and the lead institution should be clearly identified. The ALTC may subsequently require all consortia members to execute statutory declarations stating that the lead institution has the authority to bind other members.

Assessment of Project Proposals

The assessment of project proposals will be against the criteria set for the project (Section 3), the quality of the design, value for money, the importance of the outcomes proposed in the context of the ALTC's mission, objectives and responsibilities and the capacity of the project team to deliver the outcomes proposed.

Approval of Funding

The program is managed by a Standing Committee of the ALTC Board which is responsible for the selection of the projects to be funded and any specific conditions applied to those projects.

4.5 BROAD CRITERIA FOR FUNDING

For the project Dissemination of ALTC Grants Scheme Project Outcomes the duration of the project is a maximum of one year with the final report due to ALTC by 28 January 2011.

Intellectual Property

The ALTC does not claim ownership of any intellectual property in a project proposal which is submitted under the Grants Scheme to the ALTC.

All applications become the property of the ALTC on submission to the ALTC to copy, modify or otherwise deal with information for the purposes of:

- Assessment and decision making
- Verifying the accuracy, consistency and adequacy of the information contained in the application
- The preparation and management of any funding agreement
- The administration of the Grants Scheme.

One responsibility of the ALTC is the dissemination of good practice in learning and teaching in higher education which is best achieved by ensuring that quality project products and findings are available to the higher education sector for use and further development. To help achieve this aim the following position in relation to intellectual property will be the default position for the ALTC.

Intellectual Property Rights in the Material created under a Funding Agreement will vest immediately in the ALTC. The ALTC will as far as possible provide the project materials to the sector for use and adaptation using systems such as Creative Commons, Open Source or other appropriate developments.

The ALTC will grant to the recipient institution a permanent, irrevocable, royalty-free, non-exclusive licence to use, reproduce, and adapt the Material and to sub-licence any of these rights to members of a consortia for the purpose of the project that is funded.

Issues associated with existing intellectual property are addressed in the funding agreement. A model funding agreement is available on the website.

A different position in relation to copyright and licensing may be considered if there is a strong argument that the default position explained above is not appropriate for a particular project. This argument will need to be presented as part of the project proposal and will need to demonstrate that the approach advocated will ensure that the products and findings of the project are available to the higher education sector for use and further development.

The grant recipient will be required at all times to indemnify and hold harmless the ALTC, its officers, employees and agents from and against any loss or liability incurred or suffered by any of those indemnified arising from any claim, suit, demand, action or proceeding by any person in respect of any infringement, or alleged infringement, of Intellectual Property Rights by the grant recipient, its employees, agents or subcontractors in the course of, or incidental to, carrying out the project or the use by the ALTC of the material produced in the project.

Publication

The funding agreement requires reports on the progress of the project and a concluding report and in some cases a formal evaluation of the project. These reports are referred to under the Reporting Requirements (Section 4.11). The ALTC's written approval must be obtained prior to publishing any project reports required under the funding agreement. This provision does not limit the publishing of academic articles, conference papers or web materials as part of a project.

Commercialisation

A request to commercialise any material developed must be referred to the ALTC in writing for consideration and approval. In approving such a request the ALTC may impose any conditions it considers reasonable.

4.6 FORMAL AGREEMENT

Lead institutions will be required to enter into an agreement with the ALTC. If there is any inconsistency between an agreement and these guidelines, the terms of the agreement will prevail. Where a proposal from a consortium is approved, the ALTC will enter into an agreement with the lead institution only.

The conditions of the grant will be specified in the agreement. The agreement will specify the outcomes of the project to be achieved, the payment arrangements, conditions of the grant including financial and performance reporting requirements, requirements regarding variation to agreements, acquittal of grants and other related issues.

The ALTC may request copies of Memoranda of Understanding or agreements for collaborative (joint venture) projects which involve partners, prior to the execution of an agreement with the ALTC.

4.7 PURPOSE OF GRANT

The grant is provided for the purpose of achieving the deliverables and outcomes of the project for which it is approved.

The budget can contain provision for:

- Salaries and on-costs. The rate used for on-costs should be 28%.
- Teaching relief – to a maximum of \$35,000 per year per institution associated with a project, with a letter of agreement from the relevant head of school
- Dissemination activities
- Travel associated with the project conduct and/or dissemination
- Technical and expert support, evaluation and resources essential to the conduct of the project
- Up to 10% for administration costs and overheads
- The budget should include an allocation of \$3000 for attendance at ALTC events*

*For those universities with high cost travel, once this amount is used up the ALTC will consider case by case applications for an additional allowance.

Non-approved use of the grant

The grant may not be used for:

- building works (including the purchase, construction, lease, renovation or fit out of premises);
- purchase of motor vehicles;

- purchase of assets unless in exceptional circumstances specifically agreed to by the ALTC in approving the grant (this restriction would normally include computers, small digital devices etc which should be provided by the institution/s as a contribution to the project);
- travel which is not directly related to achieving the outcomes of the project for which the grant was approved; or
- general recurrent funding.

4.8 FUNDING

Grants will be approved for twelve months only. The initial grant payment must occur in the year in which the grant was approved.

4.9 PAYMENT/S OF GRANT

All grants for projects of one year duration or less will be paid in full at the commencement of the project which is on the finalisation of the funding agreement. For those projects which are designed to go beyond one year, the stage one funding will be paid at the beginning of the project and other payments will be made at the beginning of any subsequent stage/s.

Payment will only occur after the execution of an ALTC funding agreement which contains the information on payment instalments linked to agreed project stages and deliverables.

Collaborative Projects

For projects involving a consortium of institutions/organisations, the grant will be paid to the lead institution (recipient institution) which will be responsible for the management and acquittal of the funding and the deliverables from the project. When seeking funding for consortia, care should be taken to ensure the costs associated with the type of collaboration proposed are adequately addressed.

GST and other taxes

The ALTC payment/s will include a GST payment.

Where the grant is being paid to a university the ALTC will create a recipient created tax invoice and provide this with the payment of the grant. Other institutions will be required to furnish a tax invoice to the ALTC that specifically identifies the GST component. The tax invoice for the agreed initial payment must be provided within 15 days of the return of the signed agreement.

4.10 PERFORMANCE

The recipient institution is responsible to the ALTC for managing the performance of the project through the project leader. This responsibility is expressed through institutional sign-off on funding applications/ project proposals, and performance reporting.

Project Leaders and Project Teams

The project leader/s must take significant intellectual responsibility for the proposed project, its design, conduct and results. This requires a serious time commitment (usually at least 20% of the leader's time).

Individuals may not hold the substantive responsibility (eg project leader/director/fellow) for more than two ALTC grants concurrently unless the PVC/DVC (Academic) approves a greater commitment and provides details of how the time will be allocated to enable the individual to carry out his/her responsibilities to the projects. While this restriction does not apply to project team members, care should be taken by individuals not to overcommit.

The quality and timeliness of the project leader's previous ALTC work may be taken into account when considering applications for funding.

Under normal circumstances, applications involving a project leader whose final report is overdue or not of a satisfactory standard at the time of close of applications will not be accepted. The Chair of the Standing Committee has the discretion to rule on this matter. (Note this adds to the current statement that prevents leadership of more than two projects or one fellowship and one project.)

Project teams are encouraged to include one or two early career academics as substantive members of the project team.

All nominated project team members should be in agreement with the proposal at the time of submission. If it comes to the attention of ALTC that nominated team members are not aware of the application, the proposal will be deemed ineligible for that funding round.

Should a project leader need to withdraw from the project, written notification should be provided to the Carrick Institute with information on how the project will continue to be supported by the lead institution.

Collaborations/ Partnership and Other Roles

Formal collaborations or partnerships are acknowledged in documentation regarding the project. Collaborating institution/s will contribute substantially to the project, usually through a project team member. Where partners are not represented on the project team, a clear rationale should be included in the proposal to explain this absence. To acknowledge this commitment, project proposals must be endorsed in writing by the PVC/DVC (Academic) or equivalent of all collaborating/partner institutions before submission.

Proposed changes in partner institutions eg withdrawal or addition of a partner during the project must be supported by the PVC/DVC (Academic) or equivalent of the institution/s concerned and written notification of the change and endorsement be provided to the ALTC.

4.11 REPORTING REQUIREMENTS

Institutions are required to provide regular performance reports (progress reports) and a final written report on the conduct of the project, as specified in the funding agreement. These reports must be signed by the PVC/DVC (Academic) or equivalent or their delegate.

Ongoing evaluation must be included as part of the project in its various stages and be a role of the reference group. In addition, recipients of grants in excess of \$150,000 are required to commission a formal independent evaluation of the project. This may be funded from the ALTC grant and should be included in the project proposal budget.

When a project team is not able to meet the milestones or the date for completion of the project, the project leader must seek an extension in writing at least two weeks before the due date from the ALTC program manager.

Financial Reporting

Funding must be used for the purposes specified in the funding agreement. Financial reporting and acquittal processes provide assurance of this to the ALTC.

For projects designed to go beyond twelve months duration, a financial statement must be provided with the specified deliverables at the agreed milestones of the project.

On completion of the project a full financial statement complying with the conditions in the funding agreement must be provided by the agreed completion date (the date when all reporting and acquittal of funding should have been finalised).

The financial acquittal should itemise how funds were used in the course of the project and be signed as true and accurate by the authorised person within the lead institution's finance department and countersigned by the project leader.

Any funds not expended at the end of the project must be returned to the ALTC. The ALTC retains the right to reclaim funds if the recipient institution fails to fulfil the conditions of these guidelines or the funding agreement or where an overpayment has occurred.

Final Project Report

A comprehensive report (the final report) should document the ways in which the project outcomes have been met through the project. There are two parts to the final report. Instructions on inclusions in Part 1 of the final report are found in the document: *Project Management Information* on the ALTC website, under *Grants & Projects/ Managing your Project*. A template for Part 2 of the final report is also available on the same webpage.

4.12 PRIVACY AND CONFIDENTIAL INFORMATION

Grantees will be required to comply with the Information Privacy Principles when doing any act or engaging in any practice in relation to personal information for the purposes of the project, as if the institution were an agency as defined in the Federal Privacy Act 1988;

and to comply with any policy guidelines related to the protection of personal information issued by the ALTC or the Privacy Commissioner.

The ALTC will not disclose your confidential information without your written agreement other than to the Minister responsible for the ALTC, in response to a request by a House or a Committee of the Parliament of the Commonwealth of Australia or as authorised or required by law. The grantee must not disclose ALTC confidential information without written approval.

The ALTC will make publicly available information on the institutions which receive funding, a summary of the project, the project leader/s the amount of funding received and authors of reports. The ALTC may choose to release reports and evaluations arising from the project.

4.13 REFERENCE GROUPS, STEERING COMMITTEES AND PROJECT ADVICE/SUPPORT

Advisory roles

Individuals may take an advisory role or contribute a specific expertise which does not require close involvement in the project. These individuals would not normally be defined as project team members.

Reference Group

All project teams should appoint a reference group. The reference group should include some external participants who have appropriate expertise to ensure there is constructive advice on the design, development and ongoing evaluation of the project and to ensure the project has maximum impact within the institutions/s engaged in the project and beyond those institutions.

In some cases, the ALTC will determine that a steering committee will be appointed to either one or a number of projects designed to contribute to the same or similar outcomes. In this case the ALTC reserves the right to appoint the steering committee.

Australian Learning and Teaching Council Management

The ALTC will nominate a staff member as the ALTC project manager to support and monitor each project. The ALTC project manager may organise workshops that are either voluntary or part of the conditions of funding. The workshops could cover topics such as management advice and the sharing of challenges, findings, ideas and good practice.

Non-participating Members

Since there are different levels of experience and expertise in the Australian higher education sector, funding may be provided to projects to enable 'non-participating members' who may not have the resources to fully engage with the project to join the project as an interested observer. The type of 'non-participating members' envisaged are those who wish to learn how to conduct such a project or plan at a future date to 'cascade' the project to their institution.

International Partnerships

International partnerships are encouraged where appropriate. As with other members of consortia, funding can be shared with international partners for their contribution to the

project. This arrangement must be made through the lead institution, which must be an Australian higher education institution eligible to apply for a grant under the ALTC Grants Scheme. International partners must agree to the conditions imposed in the funding agreement especially in relation to intellectual property.

4.14 DISPUTE RESOLUTION

The funding agreement deals with dispute resolution between the ALTC and the grant recipient. A model funding agreement can be found on the ALTC website.

Should a dispute between project partners arise during the conduct of the project, the project partner claiming that there is a dispute will send to the other partners a written notice setting out the nature of the dispute. In the first instance the project partners will try to resolve the dispute through direct negotiation by persons who have been given the authority to resolve the dispute by their institutions/organisations. If after 10 business days from the receipt of the notice the partners have failed to reach a resolution, the dispute is to be submitted for mediation to the ALTC through the project leader.

Despite the existence of a dispute, all partners must (unless requested in writing by the other project members not to do so) continue to perform their obligations.

5.

DIRECTIONS FOR APPLICANTS GRANTS SCHEME

Applications for Investigation Projects are through the submission of a proposal, and the final decision about projects funded will be based on that proposal.

5.1 PROJECT NAMES

Project names should be no more than 15 words long and should describe clearly what the project will be doing. If the project is based in a discipline or field of study this information must be included in the title.

5.2 PROPOSALS

Inclusion and form

Proposals are limited to 12 A4 pages (including references) and are to be in 12pt font (exclusive of the Application Form and attachments – Refer below).

Fully developed proposals should demonstrate that they meet the program criteria specified under the program priorities (see Part 3).

Proposal should also contain:

- a 160-word abstract
- project management information including processes and structure underpinning the management of the project including how the project team will establish a clear vision, clear goals, financial and staff management, evaluation strategies,
- an evaluation framework,
- the endorsement of the PVC/DVC (Academic) or equivalent is required - the name is to be provided on the Application Form.
- for collaborative projects – the type of collaboration, the project collaborators and their anticipated contributions.
- Attachments:
 - a letter of endorsement from the PVC/DVC (Academic) or equivalent of partner institutions is required as an attachment for collaborative projects
 - a budget and a timeline (limit 4 A4 pages in total). The format for preparing the budget is available on the ALTC website, 'Grants & Projects/ How to Apply'.
 - the qualifications and experience of the project leader(s) and project team (limit 3 A4 pages). The statement on the project leader/s should include a list of all current projects, research, and fellowships and give an estimate of the time that the leader/s can contribute to the proposed project (Note the restriction shown in 4.10 on the number of ALTC grants and fellowships for which individuals can take substantive responsibility).

Time-lines

The timeline should assume that the project will begin in the year in which funding is approved.

Time-lines need to recognise the lead-up time required for large projects or those with a number of partners to develop a shared language and local commitment. Careful consideration should be given to the number and type of partners and their contribution to ensure the project is manageable.

Budgets

Applicants should carefully consider the expected costs of the project when submitting an application. Information on the human resources and infrastructure required for the project completion, including the resources/support the institution/s will contribute to the project including where appropriate its implementation, should be provided to justify the budget.

Instructions about what can be included in the budget are found in Section 4.7. The cost of printing and distribution of any deliverables should be included against the project costs as should costs associated with the maintenance of any websites for at least three years. The format for budgets is found on the ALTC website under 'Grants & Projects/ How to Apply'.

Evaluation

All projects provided with funding of greater than \$150,000 must commission a formal independent evaluation of the project. This evaluation should be factored into the budget and the time-lines for the project. A resource to assist with planning the evaluation of projects is available on the ALTC website under Grants & Projects/ Managing Your Project.

5.3 SUBMISSION OF APPLICATIONS

- Applications should be submitted directly via our online grants application system. Please refer to www.altc.edu.au, 'Grants & Projects/ How to Apply' for more information.
- As the Investigation Project on Dissemination will be managed through the Priority Projects Program applicants should select that program when submitting their application.
- A completed Application Form is required with each application. A sample of the Application Form and guidelines for its completion are also available (www.altc.edu.au, Grants & Projects/ How to Apply).
In the event that you experience problems in submitting your application, please contact Grants Scheme staff immediately on the phone contact provided. All applications will be receipted automatically. An email receipt, including an application reference number will be despatched within 3 business days.
- If you do not receive a receipt of application please ring the phone contact provided.
- The documents should be named as follows: **project type.institution.contact.year**
e.g. ip.rmit.smith.2010

- For Investigation Projects, please use 'ip' to indicate the project type. If the computing program you use does not support a document name of this length please abbreviate but retain the elements.
- Applications must be received by 5pm (AEST) on the closing date. An application that does not meet the deadline for application may not be considered. A delay in receiving a response to a request for information will not be considered a valid reason for a late application unless the request for information was received at least 7 days before the application date.

Contacts

Mail address: PO Box 2375, Strawberry Hills
NSW 2012, Australia

Phone: +61 2 8667 8510

Fax: +61 2 8667 8515

Requests for further information should be directed by email to projects@altc.edu.au. Requests should provide appropriate contact information including the name, institution and phone number of the person seeking the information.

5.4 DEFINITIONS AND DESCRIPTIONS

Application – this term refers to proposals

Consultation and collaboration – these two concepts can take a number of meanings and operate in different ways in different contexts. A useful discussion of these concepts is found in *Dissemination, Adoption & Adaptation of Project Innovations in Higher Education* (2005) (pp.163-165)

Deliverable – in the context of the Grants Scheme a deliverable is a product or activity arising from the proposed project. Deliverables include workshops, reports, learning objects etc.

Dissemination – In the context of the Grants Scheme the definition in *Strategies for effective dissemination of project outcomes (2005)* will be applied:

“dissemination is understood to be more than distribution of information or making it available in some way. While embracing this aspect, dissemination also implies that some action has been taken to embed and upscale the innovation within its own context (discipline or institution) and/or to replicate or transform an innovation in a new context and to embed the innovation in that new context” (p.2).

The *ALTC Dissemination Framework* is found on the website and more detailed exploration of the concept 'dissemination' is found in *Dissemination, Adoption & Adaptation of Project Innovations in Higher Education* (2005) (pp.159-163)

Innovation – In the context of the Grants Scheme the definition in *Strategies for effective dissemination of project outcomes (2005)* will be applied:

“the term ‘innovation’ refers to an idea, product, process or service that adds value, is useful or transforms current practice in the context to which it is applied. ‘First-generation innovators’ are those who do or create something new or different. ‘Second-generation innovators’ are those who take an innovation from one context and replicate, adapt or transform it for use within a new context”(p.2).

Intellectual Property Rights – includes all copyright (including rights in relation to phonograms and broadcasts), all rights in relation to inventions (including patent rights), plant varieties, registered and unregistered trademarks (including service marks), registered designs, circuit layouts, and all other rights resulting from intellectual activity in the industrial, scientific, literary or artistic fields.

Outcome – in the context of applications under the Grants Scheme an outcome is an achievement which the project is designed to accomplish, preferably described in a form that is measurable or for which evidence can be provided. To prepare an outcome statement as part of a project proposal, the question that should be posed is: *what is the project designed to achieve and how will the project team know they have been successful?* A number of unplanned outcomes are likely from any project. These should be included in the final report along with the success in achieving the nominated project outcomes.

Partner Institution – partner institutions are those institutions that are actively engaged with the project through project team members. Institutions represented in an advisory process are not partner institutions.

Project Leader – the project leader is responsible to their institution for the project. They provide the intellectual leadership for the project and are the point of contact between the Carrick Institute and the project on matters of substance to the project.

Project Material – includes documents, equipment, software, goods, information and data stored by any means.

Project Team Member – team members are actively engaged in the ongoing project work. They contribute directly to the success of the project through the contribution of time and intellectual resources to the project.

6.

GENERAL SUPPORTING INFORMATION GRANTS SCHEME

Universities are encouraged to support applicants in preparing their applications.

The Australian Universities Teaching Committee commissioned two reports to provide advice to the ALTC on improving the wider impact of funded projects. These reports are available on the ALTC website www.altc.edu.au :

- *Dissemination, Adoption & Adaptation of Project Innovations in Higher Education* by Jo McKenzie, Shirley Alexander, Carly Harper, Susan Anderson from University of Technology, Sydney (May 2005)
- *Strategies for effective dissemination of project outcomes* by Deborah Southwell, Deanne Gannaway, Janice Orrell, Denise Chalmers, Catherine Abraham, The University of Queensland and Flinders University Sydney (April 2005).

Many of the recommendations from these reports have been implemented through the Grants Scheme Guidelines and related program guidelines. A Dissemination Framework has been published on the ALTC website to assist applicants for funding under the various ALTC programs.

6.1 PROJECT DESIGN CONSIDERATIONS

The following advice is taken from the *Dissemination, Adoption & Adaptation of Project Innovations in Higher Education* by Jo McKenzie, Shirley Alexander, Carly Harper, Susan Anderson from University of Technology, Sydney (May 2005 p.xv).

The report recommends that project developers:

- consider the range of possible methods that might be adopted for involving potential users from the beginning of a project, for example
 - collaborative development by a strategically selected group of partners;
 - consultation with potential users at various stages of the process, from initial project design to prototype evaluation, pilot testing and cascading to others;
 - involvement of potential users in needs analysis related to the intended project;
- provide support for adopters to engage in the learning necessary to adapt, implement and evaluate the project outcomes effectively, for example
 - provide implementation guides accompanying the project;
 - provide case studies and exemplars of implementation;
 - provide support or training workshops or other events;
 - provide consultancy support and services from the project team;

- use consultative and collaborative forms of development which enable potential adopters to learn and inform project development;
- consider the range of potential adopters of the project and use appropriate methods for engaging with them, such as through links with disciplinary or cross-disciplinary organisations.

The following advice is taken from the *Strategies for effective dissemination of project outcomes* by Deborah Southwell, Deanne Gannaway, Janice Orrell, Denise Chalmers, Catherine Abraham, The University of Queensland and Flinders University Sydney (April 2005 p. 8).

Projects should:

- establish a communication plan that includes regular communication with the project team, institution, stakeholders, the ALTC and the wider national community
- ensure that evaluation is undertaken at multiple points throughout the project and is understood and reported within an evaluation framework.

6.2 EVALUATION

Information and supporting material to assist in the development of an evaluation framework/strategy can be found in the document: *Evaluating Projects* on the ALTC website in under Grants & Projects/ Managing Your Project.

6.3 APPLICATION CHECKLIST & ASSESSMENTS

A checklist to support applicants prepare an application is available on the website. The Assessment Reports from past years provide insight into the strengths and weaknesses of applications. These are also on the website.

Attachment One

List of eligible institutions

Australian Catholic University
Avondale College (NSW)
Batchelor Institute of Indigenous Tertiary Education (NT)
Bond University
Central Queensland University
Charles Darwin University
Charles Sturt University
Christian Heritage College (Qld)
Curtin University of Technology
Deakin University
Edith Cowan University
Flinders University
Griffith University
James Cook University
La Trobe University
Macquarie University
Melbourne College of Divinity
Monash University
Murdoch University
Queensland University of Technology
RMIT University
Southern Cross University
Swinburne University of Technology
Tabor College Inc.(SA)
The Australian National University
The University of Adelaide
The University of Melbourne
The University of New England
The University of New South Wales
The University of Newcastle
The University of Notre Dame Australia
The University of Queensland
The University of Sydney
The University of Western Australia
University of Ballarat
University of Canberra
University of South Australia
University of Southern Queensland
University of Tasmania
University of Technology Sydney
University of the Sunshine Coast
University of Western Sydney
University of Wollongong
Victoria University